

Info session for ERCEA

16 October 2017
Brussels

Eelco Ferwerda

OAPEN Foundation





Contents

- OA monographs: landscape study
- OAPEN
- Deposit service
- DOAB
- Current activities
- Deposit service for ERC
- Results so far
- Some examples







A landscape study on open access and monographs

Policies, funding and publishing in eight European countries

Publication date October 2017













Landscape of OA monographs

- Landscape study on OA and monographs
- Commissioned by Knowledge Exchange (CNRS, CSC, Deff, DFG, Jisc, Surf)
- Supported by FWF (AUT), CRIStin (NO), Couperin (FR)
- 8 countries: Austria, Denmark, Finland, France, Germany, Netherlands, Norway, UK
- Conducted by Eelco Ferwerda, Frances Pinter, Niels Stern



Why monographs?

- Monographs are not following the example of articles in the transition to OA
- Monographs are an important form of scholarly communication, esp. in Humanities
- Monographs are different: different business model (enduring demand for print), different function
- 'The writing of the long-form publication <u>is</u> the research process' – (Geoffrey Crossick)



Some overall observations

- Countries in the study similar in many ways (NW Europe, part of ERA, SE, all supporting OA) > Huge differences in size, book markets, OA policies, funding streams, stage of adoption by book publishers
- Monograph sales are steadily declining, threatening specialised academic book publishers, raising barriers for early career authors, undermining monograph as valuable form of scholarly output
- Academic book publishers in many continental countries rely on print subsidies from public and private funds
- Successful pure OA monograph initiatives in various countries, demonstrating clear benefits
- OA for monographs becoming an accepted model, offered by leading book publishers
- Some stakeholders working towards transition in some countries



OA monographs in OA policies

- Monographs do not feature in most of the policies of the countries in the study, and of national and international funders
- Exceptions in Europe: Austria (FWF),
 Switserland (SNF), Wellcome, ERC
- Libraries in many countries support OA monographs either directly or indirectly
- New library initiatives in various countries, establishing pure OA publishers



Funding streams to support OA monographs

- BPC's through research funders, institutional publication funds, also through research institutes and university departments
- Indirect funding through National support (FR), Library initiatives (NUP's)
- Non-BPC models: freemium, institutional subsidies, publisher driven green and delayed OA
- Collaborative or consortial funding models: Knowledge Unlatched, Luminos, Lever Press
- Few of the many private funds support OA monographs, with the exception of Wellcome (mainly medical history).



Business models for publishing OA monographs (1)

Many different models being explored:

- Print + OA model is basic approach of most publishers
- Traditional publishers tend to rely mostly on BPC's for OA books
- •NUP's set-up as embedded, library driven presses, partly funded through in-kind support
- Academic led presses looking for range for funding sources: grants, memberships, free labour, print and e-book sales

Business models for publishing OA monographs (2)

- Licenses: Print leads to preference among many publishers to publish under CC BY-NC
 - Monograph authors are inclined to be protective of their work, insisting on ND
 - Even so: both commercial and non-profit examples of CC BY, in some cases leading to price differentiation.
- Formats: PDF is still dominant OA format, but many different OA models:
 - Online as html with for sale e-books, or instead all eformats OA (PDF and Epub), low cost Kindle
 - Print as HC and/or PB, or only PoD, as basic service for readers.



Types of book publishers

- Traditional University Presses
- Traditional Commercial Presses
- New University Presses (often library based)
- Academic Led Presses



Typology of business models

- 1. BPC paid by research funders
- 2. BPC paid for by author's institution
- 3. Crowd funding
- 4. Membership funding
- 5. Mixed model funding
- 6. Embedded institutional support
- 7. Full institutional funding



Other findings

- Emerging infrastructure for OA books (OMP, KU, Ubiquity, OAPEN, OpenEdition Books, DOAB) and collaboration (OPERAS, HIRMEOS)
- Significant efforts needed to ensure ongoing transition
- Major barrier is lack of awareness and uptake among authors: danger of chicken and egg situation, with funders waiting for evidence of demand
- OA publications costs cannot depend on research funds alone
- Need for mixed funding models and wider support from libraries (uptake of collaborative models)
- UK is key market: HEFCE considering OA mandate for books, is already having impact on academic book industry



OAPEN

- 2008: EU-project
 - Open Access Publishing in European Networks
 - 6 University Presses from 6 countries + 2 NL universities
 - Co-ordinated by AUP
 - 2010: Launch of OAPEN Library
 - 2011: Pilot projects exploring OA for books (OAPEN-NL, OAPEN-UK)
- 2011: OAPEN Foundation
 - Established with support from NL institutions
 KB, KNAW, UvA, UU, UL, AUP + NWO



OAPEN Foundation

- Dedicated to OA books
- OAPEN Library
 - Hosting full text collection of OA books (+ chapters)
 - Only peer reviewed content
 - 170 publishers, 4000+ books
 - Increasing visibility, discoverability, usage
- Main focus areas:
 - Quality assurance
 - Aggregation and Deposit
 - Discovery and Dissemination



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Search

About

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online library and publication platform

The OAPEN Library contains freely accessible academic books, mainly in the area of humanities and social sciences. OAPEN works with publishers to build a quality controlled collection of open access books, and provides services for publishers, libraries and research funders in the areas of deposit, quality assurance, dissemination, and digital preservation.

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@Carl Grossmann





Sep 1, 2017

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Manchester University Press



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Amsterdam University Press



Drawing Futures

UCL Press



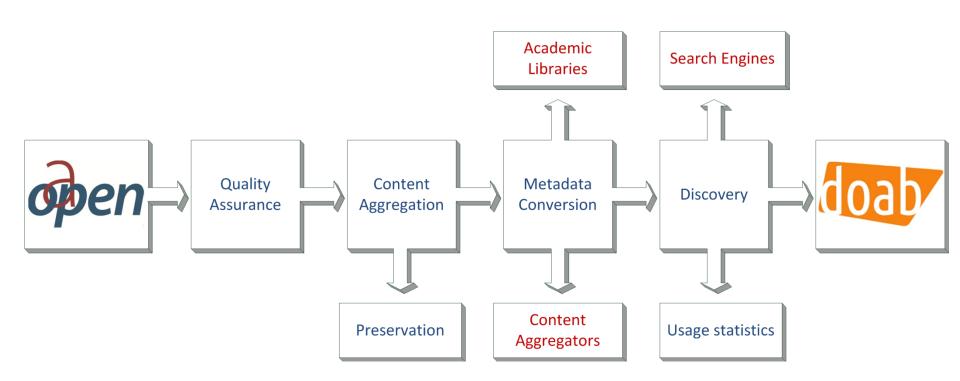


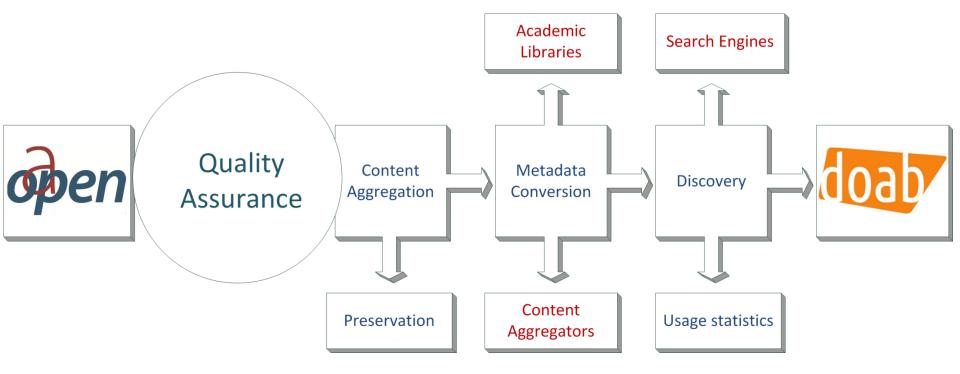
The empirical base of

OAPEN Deposit service: aims

- Support research funders' and institutional policies for OA monographs
- Provide a central infrastructure for services in the areas of dissemination, quality assurance and digital preservation
- Become the central, trusted repository for OA monographs
- Aggregate OA monographs from publishers
- Help establish standards and requirements for the effective publication, discovery, access, dissemination and preservation of OA books

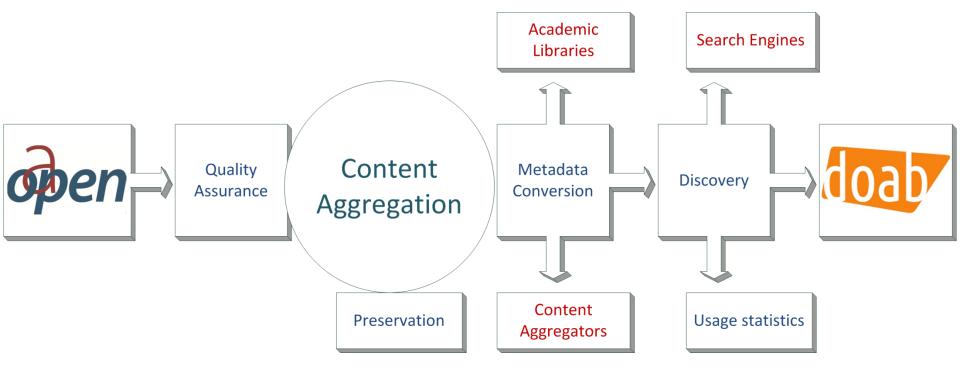
OAPEN Deposit service





Quality assurance

- Publisher peer review procedures
- Standards and requirements (with OASPA)
- Metadata enhancement (DOI, ORCID, grant information, related research data)
- Compliance check

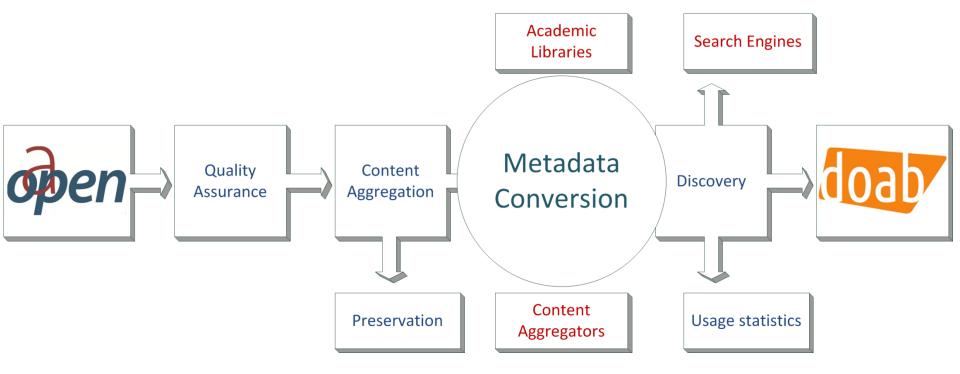


Content aggregation

- OAI harvesting, FTP bulk uploads, online uploading
- Hosting PDF and XML files

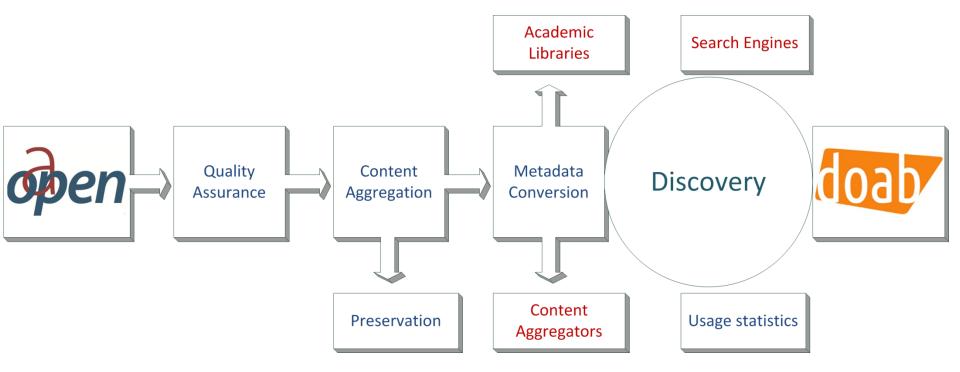
Preservation

- NL National Library e-depot
- + second partner: Portico



Metadata conversion

- Daily feeds: ONIX 3.0, MARC XML, CSV, MARC 21 being explored
- Integration into Library catalogues
- Library services: OCLC (WorldCat), ProQuest (Serial Solutions), ExLibris (Primo Central), Ebsco Discovery
- Aggregators: BASE, Europeana



Discovery

- Search engine optimization
- Indexing by Google Scholar
- Automated export to DOAB

Reporting

- COUNTER compliant usage statistics (with IRUS UK)
- Usage reporting and tracking service (grants)

Deposit service: benefits

- Increased discoverability and visibility of OA publications: increasing worldwide usage and impact
- Quality assurance of OA publications
- Standardization regarding metadata and licensing
- Digital preservation
- Management information concerning usage, grants, OA publication fees
- Integration into library catalogues and third party library services
- A platform for international co-operation on OA policies and standards for monographs

OAPEN customers

Funders/intermediaries:

- Austrian Science Fund FWF (2012)
- Knowledge Unlatched (2013)
- Wellcome Trust (2015)
- Austrian consortium (2015)
 - FWF with University of Vienna and 2 publishers
- Swiss National Science Foundation (2015)
- European Research Council (2016)

Publishers:

60 publishers



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Winner of the IFLA/Brill Open Access award 2015





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SPRINGER NATURE



DE GRUYTER

Directory of Open Access Books

- Discovery service for OA books
- Searchable index to metadata of peer reviewed monographs
- Link to OA publication
- Links to webshop and vendors



DOAB goals

- Increase discoverability of OA books
- Provide authoritative list of OA book publishers
- Support quality assurance and standards
- Promote OA book publishing







- Deposit service
- Full text
- Free + OA
- Mostly HSS

Aim:

 Deposit service for OA books

- Discovery service
- Metadata only
- OA only
- All disciplines

Aim:

 Authoritative list of OA book publishers

2016-2018

- DOAB to become separate legal entity
 - Set up as non-profit Foundation (2017)
- OAPEN co-founder of OPERAS (2015)
 - A European distributed infrastructure for open scholarly communication
 - Currently approx. 24 partners from 10 countries
 - Aiming to join ESFRI Roadmap in 2018
 - Supported by 7 countries, FR is co-ordinator
- OPERAS projects (2017-2019)
 - EU H2020 projects
 - Certification service with DOAB
 - New features for OAPEN (Identifyers, Annotation, Altmetrics)





open access in the european research area through scholarly communication

OPERAS Partners

22 partners

10 European countries

2 H2020 projects

Current volumetry

800.000 published documents

250.000 authors

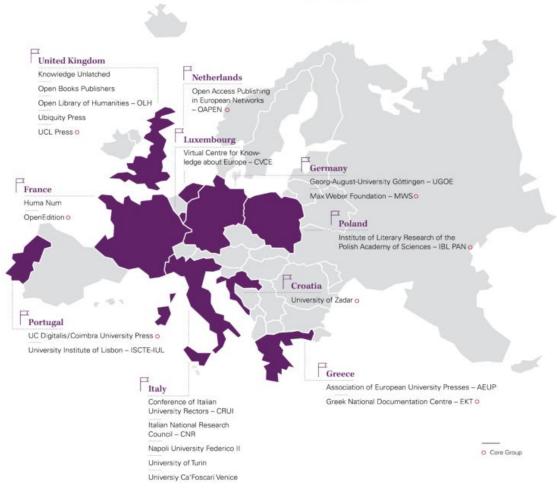
50.000.000 visitors/year

Potential

Towards ESFRI

ESFRI European Strategy Forum on Research Infrastructures

Currently, OPERAS gathers 22 organizations from 10 countries and is coordinated by a 8 members Core Group. OPERAS is led from France by OpenEdition.





2 M euros - 30 months- 9 partners – 5 platforms - 8000 monographs – 4 M unique visitors a year

TYPE OF SERVICE	TYPE OF DATA	TECHNOLOGY OR STANDARDS
Identification	Authors ID	ORCID
	Documents ID	DOI
Entity recognition	Named entities	NERD
Certification	Peer Review process	DOAB PR types
	License	Creative Commons
Annotation	Open peer review	hypothes.is
	Open Commentary	hypothes.is
Usage metrics	Downloads	COUNTER
	Citations/Reviews	Crossref - Google Scholar
	Social media impact	ALM

There will be four levels of implementation for each of the five mentioned sercices with the first two being mandatory within the project consortium, a) API connection, b) exchange of data and metadata via OAI-PMH, c) visualization of generated data on the platform, d) making one or more of the services functional for users.



Centre National de la Recherche Scientifique CNRS (CNRS)

Rue Michel Ange 3, Paris 75794, France



Ethniko Idryma Erevnon (NHRF - EIE)

Vass. Konstantinou 48, Athina 11635, Greece



Stitching OAPEN (Open Access Publishing in European Networks) (OAPEN)

NL6, 53135296, Prins Willem-Alexanderhof 5, Den Haag, 2595 BE, Netherlands



Max Weber Stiftung - Deutsche Geisteswissenschaftliche Institute im Ausland (DGIA)

Geisteswissenschaftliche Institute im Ausland Rheinallee 6 53173 Bonn, Nordrhein-Westfalen, Deutschland



Georg-August-Universitat Goettingen Stiftung Offentlichen Rechts (UGOE)

Wilhelmsplatz 1, Goettingen 37073, Deutschland



Ubiquity Press Limited (UBIQUITY PRESS)

Windmill Street 6, London W1T 2JB, United Kingdom



Open Book Publishers Community Interest Company (Open Book Pub) LTD

Devonshire Road 40, Cambridge CB1 2BL, United Kingdom



Digital Research Infrastructure for the Arts and Humanities (DARIAH ERIC)

Avenue de France 190-198, Paris, France



Università degli Studi di Torino (UNITO)

Via Giuseppe Verdi 8, Torino, Italy







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Home

About

Search

Services

Deposit

Join

Metadata

Deposit service Publishers

ERC deposit

search

Contents

ERC Deposit

ERC OA requirements Information for researchers

- deposit your publication
- OA book publishers
- requirements for publishers
- publisher agreements
- licensing options
- self-archiving
- register your upcoming publications Information for publishers

Contact us

ERC deposit

OAPEN works with the European Research Council (ERC) to provide its deposit service for open access books and book chapters to ERC funded researchers.

Under Horizon 2020, beneficiaries of ERC grants must ensure open access to all peer-reviewed scientific publications resulting from the research supported by the grant. This requirement includes monographs and book chapters: researchers are required to deposit their works upon publication, in a repository of their choice. A similar



erc

requirement applies to ERC grants funded under FP7 (2012 onwards), where at least 'best efforts' to provide open access have to be demonstrated.

ERC recommends the OAPEN Library for the deposit of monographs, book chapters and other long-text publications. OAPEN provides its deposit service to authors of monographs and book chapters resulting from ERC grants under H2020 and FP7.

The OAPEN Library is a repository set up specifically for OA books. We collect, host and provide access to these books and book chapters, in order to maximise dissemination and scientific and societal impact. The library is adapted to the specific demands of academic books, making use of the existing metadata standards, classification schemes, and distribution channels for academic books.

If you are the author of a monograph or book chapter resulting from ERC funded research, we will help you to deposit your work according to ERC guidelines and requirements.

Please view the menu on the left for further information and support.

The deposit service is free of charge for ERC funded researchers and their publishers.

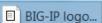






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Home

Search

About

Services

Deposit

Join

Metadata

Deposit service Publishers ERC deposit Partners

search

Contents

ERC Deposit

ERC OA requirements Information for researchers

- deposit your publication
- OA book publishers
- requirements for publishers
- publisher agreements
- licensing options
- self-archiving
- register your upcoming publications

Information for publishers Contact us

Deposit your publication

All ERC grants under Horizon 2020 require beneficiaries to provide open access to peerreviewed scientific publications related to results from the research funded under the grant. For ERC grants under FP7 from 2012 onwards, beneficiaries are required to immediately deposit and make their best effort to provide open access to all scientific publications related to results. Please consult the ERC OA requirements for full details.

The ERC Scientific Council mentions the OAPEN Library as the recommended repository for monographs and book chapters and other long-text publications.

If you are the author or publisher of a publication based on research funded by the ERC please use this form to deposit the monograph or book chapter for inclusion in the OAPEN Library.

Please note that this form must be completed by the author or the publisher of the work, or their representative.

To complete this form you will need the:

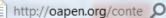
- ERC grant details
- Full text monograph or book chapter file(s), plus associated metadata files if
- Embargo release date, if applicable (i.e. the date as of which open access can be provided to the publication through the OAPEN library).

If you prefer to fill in the form offline, please download the form here.

If you have any questions about completing this form, please contact: deposit@oapen.org



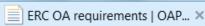








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Home

Search

About Services Deposit

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Metadata

Deposit service Publishers

ERC deposit

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Contents

ERC Deposit

- OA book publishers
- requirements for publishers
- publisher agreements
- licensing options
- self-archiving
- publications

Contact us

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Information for researchers

- deposit your publication

- register your upcoming

Information for publishers

ERC OA requirements

ERC Open Access requirements for FP7 and Horizon 2020 grants

Depending on the type of ERC grant and under what Work programme it was awarded, different rules related to open access apply.

This page gives a brief overview of the formal rules[1] under FP7 and Horizon 2020. For full details, it is advisable to check the Grant Agreement.

If you have any questions regarding ERC Open Access requirements, please consult the ERC - Frequently Asked Questions[2] or contact the ERC open access team.

FP7 (ERC Work programmes 2012 and 2013)

ERC Frontier Research Grants (i.e. Starting Grants, Consolidator Grants, Advanced Grants, Synergy Grants) resulting from calls in the ERC Work programmes 2012 and 2013[3] oblige beneficiaries to immediately deposit all scientific publications related to results from the research funded under the grant, and to make best efforts to provide open access to them within six months from publication.

Article 7 of the grant agreement for these grants will include Special Clause 39 ERC:

In addition to Article II.30.3 [note: this article explains how the ERC funding has to be acknowledged], the beneficiary shall deposit an electronic copy of the published version or the final manuscript accepted for publication of a scientific publication, related to foreground [note: this refers to all results generated in the project] published before or after the final report, in an institutional or subject-based repository at the moment of publication.

The beneficiary is required to make its best efforts to ensure that this electronic copy becomes freely and electronically available to anyone through this repository:

- immediately, if the scientific publication is published "open access", i.e. if an electronic version is also available free of charge via the publisher, or
- · within six months of publication.



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Funder requirements

Wellcome, ERC and FWF open access requirements: monographs and book chapters

The table below summarises Wellcome, ERC and FWF open access requirements only as they relate to monographs and book chapters. It does not include information regarding other research publications such as journal articles.

Summary of open access requirements

	Wellcome	ERC	FWF
Scope of policy (content)	All original scholarly monographs and book chapters authored or co-authored by Wellcome grantholders	All monographs, book chapters and other long-text publications related to results from ERC funded research (for H2020: only peer-reviewed publications are covered)	All peer-reviewed research results of FWF funded research
Maximum embargo	6 months	6 months (12 months for outputs in the humanities and social sciences in H2020)	12 months (No embargo for FWF funded publications)
Funding availability	Yes	Yes, within grant period	Yes: FWF has a separate funding programme for stand-alone publications (such as monographs and edited collections), which is available for FWF grant holders and researchers who conduct their research activities mainly in Austria or under the auspices of an Austrian research institution abroad.
Licence (gold)	CC BY preferred, other CC licences permitted	Not specified	Not specified (CC BY for FWF funded publications)



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Contents

Deposit service Compliant publishers Join the list

Funder requirements

List of compliant book publishers

On this page we list book publishers that comply with the open access requirements of the European Research Council, Wellcome, and the Austrian Science Fund (FWF).

These funders support immediate open access to books and book chapters resulting from research grants. This support enables researchers to pay for book processing charges levied by publishers to publish their work in open access.

In the section 'Funder requirements' we provide a quick overview of the requirements of these funders concerning open access. Please note this list only provides a summary; details can be found by following the links to the funders' websites provided.

In the section 'For researchers' we provide help to find book publishers with an open access offering.

Publishers that comply with these requirements can join the list by filling in their information in an online form.

publisher	contact	email	open access policy	self- archiving policy
Amsterdam University Press	Max Haring	M.Haring@aup.nl	AUP Open Access	no information
Brill	Jasmin Lange	brillopen@brill.com	Brill Open Books & Chapters	Self Archiving Rights (self- archiving restricted to institutional use)
Cambridge University Press	Matt Day	openaccess@cambridge.org	Open Access - Books	Green Open Access Books

ERC-OAPEN-2015

Identified publications resulting from ERC grants	120
uploaded/pending	67 / 47
of uploaded publications (67):	
books/chapters	36/31
H2020 / FP7	2 / 65
FP7 SC39 yes / no	12 / 53
aggregated / deposited	50 / 17
deposited by publisher / researcher	12/5
gold / green	51 / 16







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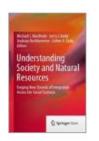




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Sui	bject	
less		
+	Asia	(1)
	Australasia, Oceania and other land areas	(1)
	Computing and information technology	(1)
	Earth sciences, geography, environment, planning	(4)
	Economics, finance, business and management	(6)
+	Humanities	(13)
\oplus	Language	(3)
\oplus	Law	(4)
\oplus	Lifestyle, sport and leisure	(1)
	Literature and literary studies	(1)
\oplus	Mathematics and science	(44)
	Reference, information and interdisciplinary subjects	(4)
+	Society and social sciences	(22)
+	The Americas	(1)





Understanding Society and Natural Resources: Forging New Strands of **Integration Across the Social Sciences**

Manfredo, Michael J.; Vaske; , Jerry J.; Rechkemmer, Andreas & Duke, Esther A.

Add

Subjects: Society and social sciences, Society and social sciences, Sociology and anthropology, Sociology



Photosynthesis

Dubinsky, Zvy

Add

Subjects: Mathematics and science, Science: general issues



Photoacoustics — A Novel Tool for the Study of Aquatic Photosynthesis

Pinchasov-Grinblat, Yulia & Dubinsky, Zvy

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Add

Subjects: Mathematics and science, Science: general issues

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Social Media in an English Village

Miller, Daniel



Subjects: Society and social sciences, Society and social

Society and social sciences, Society and social sciences

New features (2018-2019)

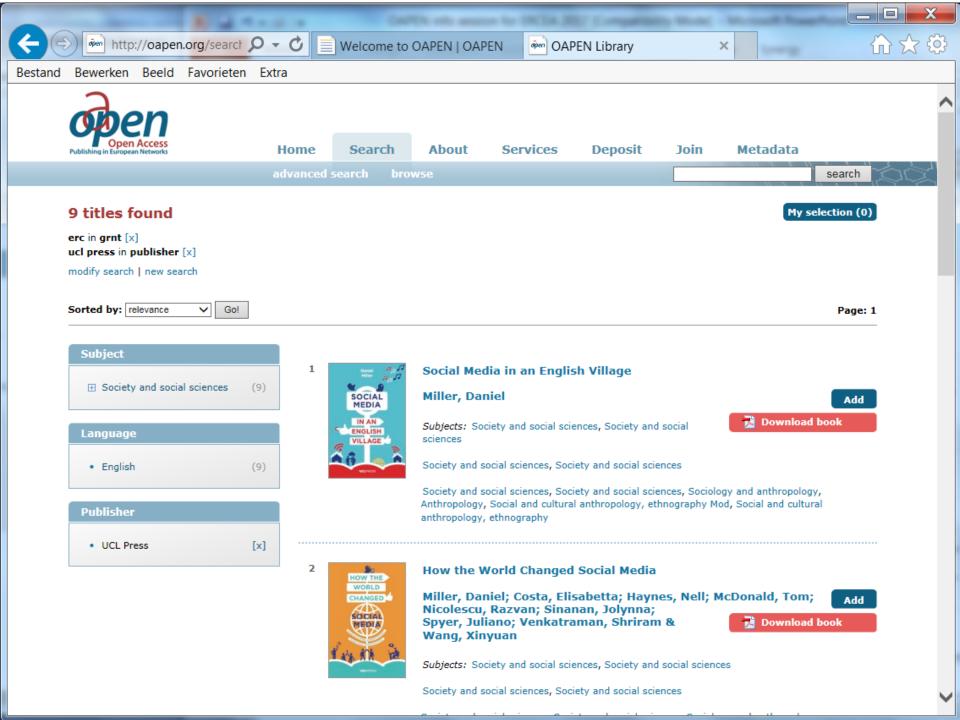
- Collection view: improves the visibility of the ERC publications within the OAPEN Library
- Grant linking: improves discovery and increases the visibility of publications resulting from ERC grants at the research website and the OAPEN Library
- Review linking: promotes publications and usage
- Altmetrics: increases insight in impact of publications and promotes usage

Case: UCL Press - usage

- Book series 'Why We Post'
- Anthropological study exploring the impact of social media across the world
- ERC Advanced Grant: SOCNET

- 9 books so far









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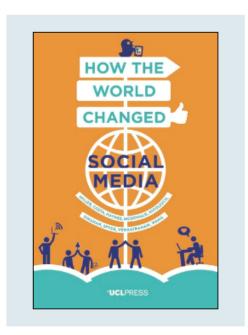
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How the World Changed Social Media

modify search | new search

Browse by All | Title | Author

Return to search results



Miller, Daniel, Costa, Elisabetta, Haynes, Nell, McDonald, Tom, Nicolescu, Razvan, Sinanan, Jolynna, Spyer, Juliano, Venkatraman, Shriram & Wang, Xinyuan

Publisher UCL Press, London

Published 2016

Subjects Society and social sciences, Society and social sciences

Society and social sciences, Society and social sciences

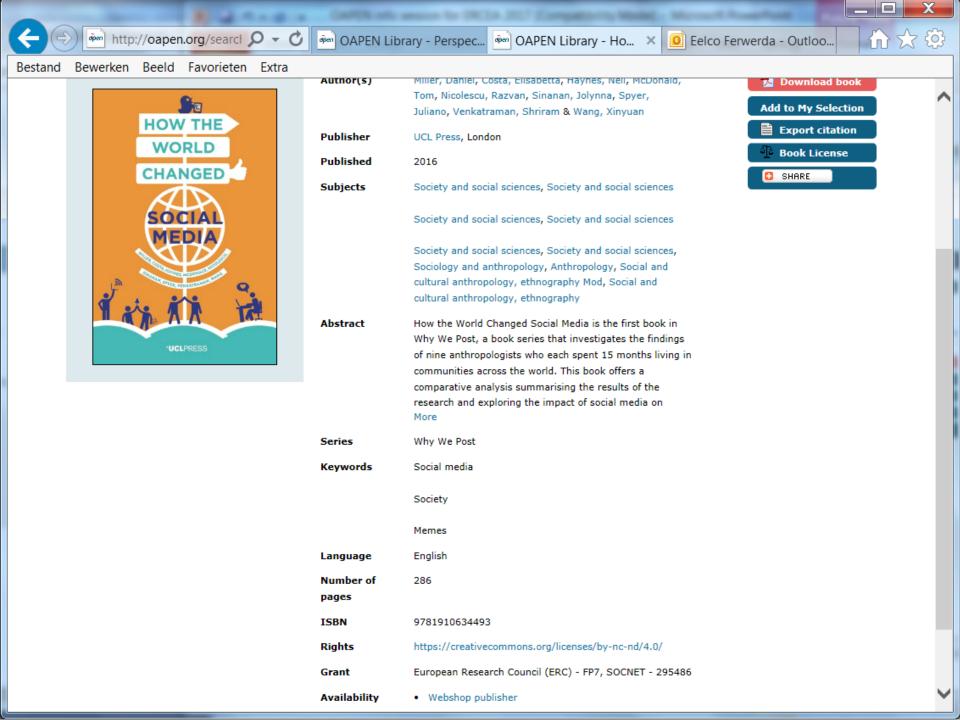
Society and social sciences, Society and social sciences, Sociology and anthropology, Anthropology, Social and cultural anthropology, ethnography

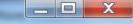
cultural anthropology, ethnography

How the World Changed Social Media is the first book in Why We Post, a book series that investigates the findings of nine anthropologists who each spent 15 months living in communities across the world. This book offers a comparative analysis summarising the results of the research and exploring the impact of social media on More

Series Why We Post

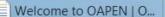
Keywords Social media













OAPEN Library - Social ...





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GLOBAL SOCIAL MEDIA IMPACT STUDY



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Global Social Media **Impact Study**

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- Aim and Objectives
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- Field sites
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EVENTS



No articles are available.

View all articles

Global Social Media Impact Study



Please see our new website for the latest information on the Why We Post project.

The Global Social Media Impact Study based at the UCL Department of Anthropology is dedicated to understanding the implications of social networking sites for global humankind and society, and explaining their significance for the future of the social sciences.

> Our research objectives

About the Global Social Media Impact Study

Anthropology of Social Networking directory





PROJECT BLOG

See you later, from the Why We Post team

This is our end-of-project blogpost, after five years of our ERC-funded project Why We Post. So, what has been achieved? 1) In the light of a comparative study of nine fieldsites and our eleven books of evidence. we hope people will fully appreciate that social media is always content, and content is always the local [...]

> Read more...

LATEST TWEET

#WhyWePost: The Anthropology of Social Media starts on Monday! Enrol free for a global perspective on #conialmodia



Activity so far...

Published 50 books and 7 journals so far

UCL Press Highest Downloads

How the World Changed Social Media	29-Feb-16	139092
Social Media in Industrial China	13-Sep-16	35640
Social Media in an English Village	29-Feb-16	27718
The Petrie Museum of Egyptian Archaeology	04-Jun-15	18912
Sustainable Food Systems: The Role of the City	05-Dec-16	18867



UCL Press Highest Downloads

How the World Changed Social Media	29-Feb-16	139092
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Social Media in an English Village	29-Feb-16	27718
The Petrie Museum of Egyptian Archaeology	04-Jun-15	18912
Sustainable Food Systems: The Role of the City	05-Dec-16	18867



UCL Press Print Sales

The Petrie Museum of Egyptian Archaeology	04-Jun-15	1134
A Connected Curriculum for Higher Education	07-Jun-17	479
How the World Changed Social Media	29-Feb-16	221
Social Media in an English Village	29-Feb-16	358
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Case: Brill - acquisition

- Research into ERC grants
- Selecting ERC projects in Brill focus areas
- Internal check with acquisitions editors
- Mailing to approx. 200 Pl's
- Resulting in approx. 40 prospects
- Around 10 agreements

4 books so far





Case: Brill - acquisition

- One example:
- ERC Advanced Grant
- CONFIGMED: Mediterranean trade relations in historic perspective
- Huge publishing program requiring tender procedure
- Resulting in many books
- Grant period ends June 2018



Case: Brill - acquisition

- Some bottlenecks:

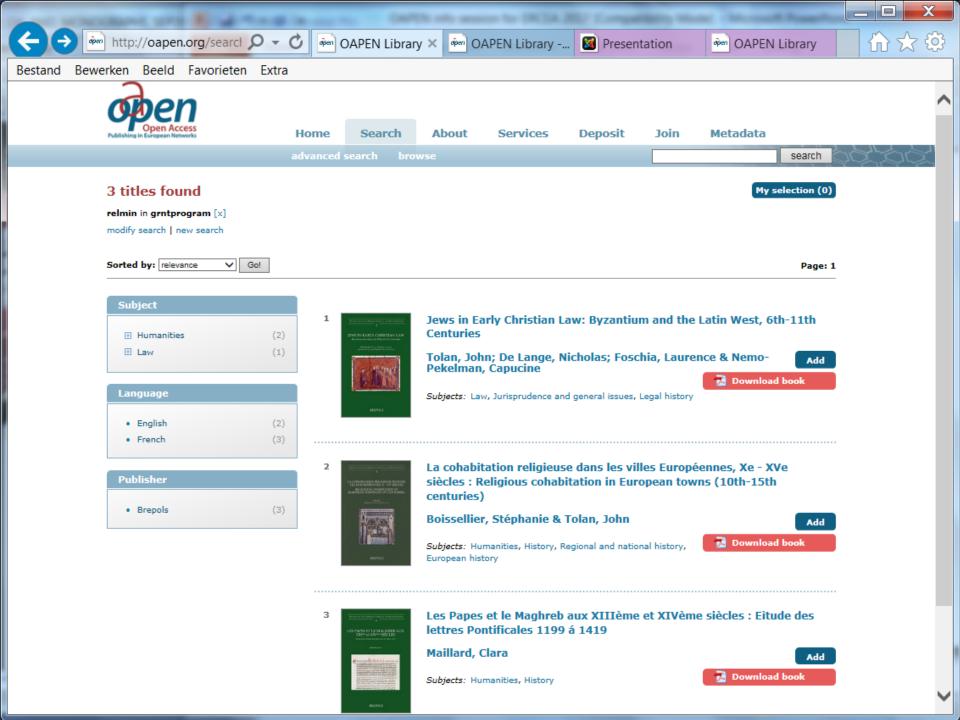
- Pl's not always aware of OA requirements
- OA publication costs not always included in project budget
- Books take longer to write, but publication costs only eligible within grant period
- Agreements can only be finalized after peer review

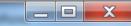


BRILL

Case: RELMIN – delayed OA

- ERC FP7 grant RELMIN
- Research into legal texts re. religious minorities in pre-modern Europe
- We contacted PI with 2 titles from the project
- PI provided list of 9 titles published by Brepols
- All titles to become OA after 1 year embargo

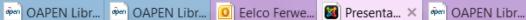
















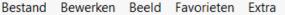




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THE LEGAL STATUS OF RELIGIOUS MINORITIES IN THE EURO-MEDITERRANEAN WORLD (5TH-15TH CENTURIES)



























l'INSTITUT DU PLURALISME RELIGIEUX ET DE L'ATHEISME



THE RELMIN PROJECT

TEAM -

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THE DATABASE



Schedule...



The RELMIN project

Category: The RELMIN project Published on Friday 5 July 2013

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RELMIN is a research project mobilising an international team based at the Maison des Sciences de l'Homme Ange-Guépin in Nantes.

RELMIN collects, publishes and studies legal texts defining the status of religious minorities in pre-modern Europe, offering a wide-ranging outlook onto the debates in 21st century European societies, where increasing trends to secularisation as well as the simultaneous reaffirming of religious identities are



Presentation Flyer





Twitter - Relmin

Thank you

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www.oapen.org - @oapenbooks
www.doabooks.org - @doabooks





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