



European Research Council
Executive Agency

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ERCEA

SPECIFIC PRIVACY STATEMENT
ERCEA Unit A1
Survey on the assessment of the ERC Proof of Concept Grant

This statement concerns the processing operation called “**Assessment of the ERC Proof of Concept Grant**”. This processing requires the handling of personal data, and is therefore subject to Regulation (EC) No 45/2001¹.

1. What personal information will be collected, for what purpose and by what means?

This survey consists of a number of questions that the respondent has to answer, processed by means of SurveyMonkey in a set format. After the collection period, the survey is closed and no further answers are possible. The data is subsequently transferred to a database in the form of an excel table for further analysis or to be examined manually.

For this survey the following personal data will be collected: name and surname of the data subjects (i.e. the survey respondents); acronym of ERC Grant(s) (Frontier Research and, where applicable, Proof of Concept); name and country of the Host Institution. In addition, information provided by the respondents may contain additional personal data categories, which will also be collected and processed for the purposes indicated in this Specific Privacy Statement.

In addition, respondents will be asked to answer a series of questions related to the commercialisation/valorisation of their ERC-funded research results, including whether they have commercialised/valorised them or not; and if not why; and if yes, if they have done it via an ERC Proof of Concept grant (PoC) or through non-ERC funding and what the outcomes were.

The collected information, including all personal data, will be transferred, in a non-anonymised way, to the independent experts contracted by the ERC Executive Agency (ERCEA) for the purposes of assisting the ERC in the activities related to the assessment of the PoC scheme as foreseen by the ERC Work Programme 2016.

The collected information will also serve the purpose of conducting aggregated statistical analysis that will greatly help monitoring and evaluating the performance of the ERCEA.

¹ Regulation (EC) 45/2001 of the European Parliament and of the Council on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data, OJ L 8, 12.1.2001, p. 1.

The results of the survey will **not** be used to evaluate or assess performance or conduct of the respondents, nor for the purpose of excluding individuals from a right, benefit or contract. Participation in the questionnaire is **voluntary**.

2. Who has access to your information and to whom is it disclosed?

The raw data and information gathered in this survey is accessible only to a strictly limited number of selected individuals, on a need-to-know / need-to-do basis:

- Members of the Advisory Group created to monitor the progress and assure the quality and objectivity of the assessment of the PoC scheme (ERCEA staff and members of the ERC Scientific Council)
- IT ERCEA staff who set up the survey and handle the extraction of results
- The experts contracted for the purpose of this exercise
- ERCEA staff directly related to the project on a need to know/ need to do basis.

The results of the survey are extracted from the SurveyMonkey platform by ERCEA Unit D1 (IT Solutions and Services), that is the controller of this survey.

3. How do we protect and safeguard your information?

Access to the IT infrastructure on which the survey is based is limited to system administrators and is not accessible to anyone other than SurveyMonkey staff.

Access to the survey content is controlled via username and password and limited to specialised staff of the ERCEA.

Information will be stored on SurveyMonkey servers. The communication between ERCEA and the experts is done via secure means of communication (i.e. secure email, ftp or CIRCA) requiring specific accessibility identification measures.

Further information about how data is processed and stored by SurveyMonkey can be retrieved through the following link:

https://help.surveymonkey.com/articles/en_US/kb/Data-Ownership

Since September 12th 2016, SurveyMonkey updated its privacy policy statement and is, at the time of the creation of this SPS, compliant with the EU-US Privacy Shield Framework: <https://www.surveymonkey.com/mp/policy/privacy-policy/>

All the external experts involved in this evaluation have signed a contract containing data protection and confidentiality clauses and have been requested by the ERCEA to delete all data as soon as it is no longer necessary for the performance of their tasks.

4. How long do we keep your data?

All personal data will be deleted from the databases at the latest 2 years after the beginning of the survey has taken place to allow processing of data within the deadlines foreseen for this assessment or after the exportation of relevant personal data categories into a restricted access server, where it may be further processed solely for historic, scientific and statistical purposes, whichever occurs first. The exported personal data will be kept on the restricted access server for no longer than is necessary for the purposes for which the data are further processed, after which it will be deleted.

The performance of comparative statistical analysis will help to define better the usability and need of the PoC grant scheme and will help to tackle the areas were potential flaws

will be identified. Reports containing personal data will be archived according to the Common Commission Retention List – First Revision (SEC(2012)713), to which the ERCEA has to adhere.

5. How can you access, verify, modify or delete your data?

To verify, object to, modify, correct and delete any personal data, or to withdraw your consent, you can contact the ERCEA by sending an e-mail giving details of your request to: ercea-poc-applicants@ec.europa.eu

The ERCEA Data Protection Officer is at your disposal for any clarification you might need on your rights under Regulation (EC) 45/2001: ERC-DATA-PROTECTION@ec.europa.eu

You have the right to have recourse to the European Data Protection Supervisor (edps@edps.eu) if you consider that your rights under Regulation (EC) 45/2001 have been infringed as a result of the processing of your personal data by the ERCEA.

8. Legal basis

- Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December 2013 establishing Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC, p. 127;
- Commission Decision C(2015) 5086 of 28 July 2015 establishing the European Research Council 2016 Work Programme;
- Regulation (EC) No 45/2001 of the European Parliament and of the Council of 18 December 2000 on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data, in particular articles 5(a) and 5(d);