Workshop on Open Access infrastructures in the Social Sciences and Humanities
Session 3: Open Access policies of funding bodies and their implementation
Thursday, 7 February 2013, 9:00 – 12:00

Speaker profiles and abstracts

Session Chair

Prof. Dr.-Ing. Matthias Kleiner (ERC Scientific Council)
Head of the Institute of Forming Technology and Lightweight Construction (IUL) at the University of Dortmund and immediate past President of the German Research Foundation (DFG)

Prof. Matthias Kleiner completed his habilitation in the field of forming technology in 1991. Three years later, he joined the faculty of the newly founded Brandenburg Technical University of Cottbus as Professor of Forming Technology as the Chair in Design and Manufacturing. In 1997, Professor Kleiner was awarded the DFG’s Gottfried Wilhelm Leibniz Prize, the most prestigious prize in German research. In 1998, Professor Kleiner moved to the Technical University Dortmund, where he holds the Chair of Forming Technology. From 2004 to 2006, he served as Managing Director of the newly established Institute of Forming Technology and Lightweight Construction (IUL). He has played an instrumental role in a number of international and, above all, interdisciplinary research projects and research networks. He is a member of numerous international professional committees and academies. In 2011, he co-chaired the German “Ethics Commission for a Safe Energy Supply”. Professor Kleiner was elected President of the German Research Foundation (DFG) in 2007. His six year term of office as President of the DFG ended on December 31st, 2012. Since January 2013, he is back at the University of Dortmund as Head of the Institute of Forming Technology and Lightweight Construction (IUL).

Speakers

Rune Odgaard Jensen, MSc, MA
Head of Section, The Danish Council for Independent Research, Ministry of Science, Innovation and Higher Education

With a background in political science from the Aarhus University, Denmark, and the University of Auckland, New Zealand, he has been working as a policy advisor for The Danish Council for Independent Research since 2010. In this regard, he is, among other things, responsible for the Council’s Open Access-mandate.

Open Access-mandate of the Danish Public Sector Research Councils and Foundations

Abstract: While presenting the newly adopted Open Access-mandate of the five Danish public sector research councils and foundations motives and reasons for its outcome are put forward.

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**Doris Haslinger**  
**Austrian Science Fund - FWF, Program Manager of Stand-Alone publications**

Doris Haslinger has been working with the FWF for nearly seventeen years and is the Program-Manager of the Stand-alone publications at the Austrian Science Fund. She is also responsible for the FWF-E-Book Library.

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**Funding model for Open Access Books - Example of a Research Funder (Austrian Science Fund - FWF)**

**Abstract:** After the FWF has signed the Berlin Declaration on Open Access to Knowledge in the Sciences and Humanities in 2003 an active Open Access policy for FWF-funded projects has been established. The FWF offers two different funding programs for supporting the publication of scientific outcomes in various ways. In my presentation I will talk about the FWF-funding of open access publications focusing on the program for stand-alone publications. In this program, funding of monographs, collections and so on is generally contingent on the fulfilment of the open access obligation. The second part of my talk will inform you about the FWF-E-Book-Library, our open access repository for FWF-funded, stand-alone publications, as well as about other open access initiatives by the FWF and ideas for the future.

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**Professor Mark Llewellyn**  
**Director of Research, Arts and Humanities Research Council**

Professor Mark Llewellyn is Director of Research at the UK's Arts and Humanities Research Council on secondment from the University of Strathclyde, Glasgow, where he holds the John Anderson Research Leadership Chair in English. At the AHRC, Mark holds responsibility for all aspects of research funding (postgraduate through to the largest grants and theme research), knowledge exchange, international activities, peer review and public policy. Before joining the AHRC he was editor of two journals (one of which was open access).

**Open Access and the AHRC**

**Abstract:** This presentation highlights the current Open Access mandate of the UK Research Councils and the context for Open Access in the UK in relation to wider debates involving funding agencies, public accountability and the government’s transparency agenda. While focussing on the general RCUK policy relating to grant funded research, the presentation also references the specific contexts of arts and humanities publication and dissemination formats (the UK's Research Council policy, for example, covers only journal articles not books) and the AHRC's involvement in related initiatives such as OAPEN-UK.

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Daniel Spichtinger
Open Access Policy Officer, European Commission, Directorate-General for Research & Innovation (DG RTD)

Daniel Spichtinger has several years of experience managing EU funded projects and is currently working as policy and project officer for the European Commission working on open access issues.

Towards a European Policy on Open Access

Abstract: Open access is central to the free circulation of knowledge in Europe. It is backed by a growing number of countries and by universities, research centres and funding agencies across Europe, including the European Commission. However, despite the generally recognised benefits, the implementation of open access remains a challenge. A strategic change is needed: the developments of EU policy and procedures in this regard will be presented. The presentation will discuss the EC’s open access policy as outlined in three key documents adopted in 2012:

- Firstly, the Communication 'A Reinforced European Research Area Partnership for Excellence and Growth' and the joint agreements with some of the biggest research stakeholder organisations aim at completing the European Research Area and optimise the circulation, access to and transfer of scientific knowledge.

- Secondly, the Communication, 'Towards better access to scientific information', which sets out the action that the Commission intends to take with stakeholders and Member States to improve access to scientific information and to boost the benefits of public investment in research. This policy paper also explains how open access will be implemented in Horizon 2020, the EU Framework programme for research and innovation running from 2014 to 2020.

- Thirdly, the Recommendation to European Union Member States calling for improved policies and practices on open access to scientific publications and research data, preservation and re-use of scientific information.

Dr Birgit Schmidt
Project Coordinator Electronic Publishing, University of Goettingen / State and University Library

Dr Birgit Schmidt coordinates the international and national projects and initiatives in the Electronic Publishing unit at Goettingen State and University Library. In particular, she acts as Scientific Manager for the European OpenAIRE project. Previously, she served as Executive Director of the Confederation of Open Access Repositories (COAR).

OpenAIRE – Open Knowledge Infrastructure for Europe

Abstract: OpenAIRE started as a support project for the implementation of the open access policies of the European Commission and the European Research Council. It operates a participatory European infrastructure for publications and supports researchers and institutions through a Europe-wide network of open access experts. Services address researchers, research managers, publication and data repository managers as well as publishers. In a continuation project, OpenAIREplus builds on this by linking publications to related datasets and a wider range of funding schemes.