Info session for ERCEA

16 October 2017
Brussels

Eelco Ferwerda
OAPEN Foundation
Contents

- OA monographs: landscape study
- OAPEN
- Deposit service
- DOAB
- Current activities
- Deposit service for ERC
- Results so far
- Some examples
A landscape study on open access and monographs
Policies, funding and publishing in eight European countries
Publication date October 2017
Landscape of OA monographs

- Landscape study on OA and monographs
- Commissioned by Knowledge Exchange (CNRS, CSC, Deff, DFG, Jisc, Surf)
- Supported by FWF (AUT), CRIS tin (NO), Couperin (FR)
- 8 countries: Austria, Denmark, Finland, France, Germany, Netherlands, Norway, UK
- Conducted by Eelco Ferwerda, Frances Pinter, Niels Stern
Why monographs?

- Monographs are not following the example of articles in the transition to OA
- Monographs are an important form of scholarly communication, esp. in Humanities
- Monographs are different: different business model (enduring demand for print), different function
- ‘The writing of the long-form publication is the research process’ – (Geoffrey Crossick)
Some overall observations

- Countries in the study similar in many ways (NW Europe, part of ERA, SE, all supporting OA) > Huge differences in size, book markets, OA policies, funding streams, stage of adoption by book publishers

- Monograph sales are steadily declining, threatening specialised academic book publishers, raising barriers for early career authors, undermining monograph as valuable form of scholarly output

- Academic book publishers in many continental countries rely on print subsidies from public and private funds

- Successful pure OA monograph initiatives in various countries, demonstrating clear benefits

- OA for monographs becoming an accepted model, offered by leading book publishers

- Some stakeholders working towards transition in some countries
OA monographs in OA policies

- Monographs do not feature in most of the policies of the countries in the study, and of national and international funders
- Exceptions in Europe: Austria (FWF), Switzerland (SNF), Wellcome, ERC
- Libraries in many countries support OA monographs either directly or indirectly
- New library initiatives in various countries, establishing pure OA publishers
Funding streams to support OA monographs

- BPC’s through research funders, institutional publication funds, also through research institutes and university departments
- Indirect funding through National support (FR), Library initiatives (NUP’s)
- Non-BPC models: freemium, institutional subsidies, publisher driven green and delayed OA
- Collaborative or consortial funding models: Knowledge Unlatched, Luminos, Lever Press

- Few of the many private funds support OA monographs, with the exception of Wellcome (mainly medical history).
Many different models being explored:
• Print + OA model is basic approach of most publishers
• Traditional publishers tend to rely mostly on BPC’s for OA books
• NUP’s set-up as embedded, library driven presses, partly funded through in-kind support
• Academic led presses looking for range for funding sources: grants, memberships, free labour, print and e-book sales
Business models for publishing OA monographs (2)

• Licenses: Print leads to preference among many publishers to publish under CC BY-NC
  – Monograph authors are inclined to be protective of their work, insisting on ND
  – Even so: both commercial and non-profit examples of CC BY, in some cases leading to price differentiation.

• Formats: PDF is still dominant OA format, but many different OA models:
  – Online as html with for sale e-books, or instead all e-formats OA (PDF and Epub), low cost Kindle
  – Print as HC and/or PB, or only PoD, as basic service for readers.
Types of book publishers

- Traditional University Presses
- Traditional Commercial Presses
- New University Presses (often library based)
- Academic Led Presses
Typology of business models

1. BPC paid by research funders
2. BPC paid for by author’s institution
3. Crowd funding
4. Membership funding
5. Mixed model funding
6. Embedded institutional support
7. Full institutional funding
Other findings

- Emerging infrastructure for OA books (OMP, KU, Ubiquity, OAPEN, OpenEdition Books, DOAB) and collaboration (OPERAS, HIRMEOS)
- Significant efforts needed to ensure ongoing transition
- Major barrier is lack of awareness and uptake among authors: danger of chicken and egg situation, with funders waiting for evidence of demand
- OA publications costs cannot depend on research funds alone
- Need for mixed funding models and wider support from libraries (uptake of collaborative models)
- UK is key market: HEFCE considering OA mandate for books, is already having impact on academic book industry
OAPEN

• **2008: EU-project**
  - Open Access Publishing in European Networks
  - 6 University Presses from 6 countries + 2 NL universities
  - Co-ordinated by AUP
  - 2010: Launch of OAPEN Library
  - 2011: Pilot projects exploring OA for books
    (OAPEN-NL, OAPEN-UK)

• **2011: OAPEN Foundation**
  - Established with support from NL institutions
    KB, KNAW, UvA, UU, UL, AUP + NWO
OAPEN Foundation

- Dedicated to OA books
- OAPEN Library
  - Hosting full text collection of OA books (+ chapters)
  - Only peer reviewed content
  - 170 publishers, 4000+ books
  - Increasing visibility, discoverability, usage
- Main focus areas:
  - Quality assurance
  - Aggregation and Deposit
  - Discovery and Dissemination
Welcome to OAPEN

online library and publication platform

The OAPEN Library contains freely accessible academic books, mainly in the area of humanities and social sciences. OAPEN works with publishers to build a quality controlled collection of open access books, and provides services for publishers, libraries and research funders in the areas of deposit, quality assurance, dissemination, and digital preservation.

Featured titles

- Dance and politics: Moving beyond boundaries
  Manchester University Press
- Banking for a Better World
  Amsterdam University Press
- Drawing Futures
  UCL Press
- The New Russian Nationalism
  Edinburgh University Press

Tweets by @OAPENbooks

- OAPEN @OAPENbooks
  New in the OAPEN Library - Rechtliche Voraussetzungen der Nutzung von Open-Source-Software... oapen.org/search?identifi...
  @Carl_Grossmann
  Sep 1, 2017

Subscribe to the newsletter
News archive
OAPEN Deposit service: aims

- Support research funders’ and institutional policies for OA monographs
- Provide a central infrastructure for services in the areas of dissemination, quality assurance and digital preservation
- Become the central, trusted repository for OA monographs
- Aggregate OA monographs from publishers
- Help establish standards and requirements for the effective publication, discovery, access, dissemination and preservation of OA books
Quality assurance

- Publisher peer review procedures
- Standards and requirements (with OASPA)
- Metadata enhancement (DOI, ORCID, grant information, related research data)
- Compliance check
**Content aggregation**
- OAI harvesting, FTP bulk uploads, online uploading
- Hosting PDF and XML files

**Preservation**
- NL National Library e-depot
- + second partner: Portico
**Metadata conversion**

- Daily feeds: ONIX 3.0, MARC XML, CSV, MARC 21 being explored
- Integration into Library catalogues
- Library services: OCLC (WorldCat), ProQuest (Serial Solutions), ExLibris (Primo Central), Ebsco Discovery
- Aggregators: BASE, Europeana
Discovery

• Search engine optimization
• Indexing by Google Scholar
• Automated export to DOAB

Reporting

• COUNTER compliant usage statistics (with IRUS UK)
• Usage reporting and tracking service (grants)
Deposit service: benefits

- Increased discoverability and visibility of OA publications: increasing worldwide usage and impact
- Quality assurance of OA publications
- Standardization regarding metadata and licensing
- Digital preservation
- Management information concerning usage, grants, OA publication fees
- Integration into library catalogues and third party library services
- A platform for international co-operation on OA policies and standards for monographs
OAPEN customers

Funders/intermediaries:
• Austrian Science Fund FWF (2012)
• Knowledge Unlatched (2013)
• Wellcome Trust (2015)
• Austrian consortium (2015)
  – FWF with University of Vienna and 2 publishers
• Swiss National Science Foundation (2015)
• European Research Council (2016)

Publishers:
• 60 publishers
Directory of Open Access Books

- Discovery service for OA books
- Searchable index to metadata of peer reviewed monographs
- Link to OA publication
- Links to webshop and vendors
DOAB goals

• Increase discoverability of OA books
• Provide authoritative list of OA book publishers
• Support quality assurance and standards
• Promote OA book publishing
Aim:
• Deposit service for OA books

Aim:
• Authoritative list of OA book publishers

Open Access
Publishing in European Networks

• Deposit service
• Full text
• Free + OA
• Mostly HSS

Directory of Open Access Books

• Discovery service
• Metadata only
• OA only
• All disciplines
2016-2018

- DOAB to become separate legal entity
  - Set up as non-profit Foundation (2017)
- OAPEN co-founder of OPERAS (2015)
  - A European distributed infrastructure for open scholarly communication
  - Currently approx. 24 partners from 10 countries
  - Aiming to join ESFRI Roadmap in 2018
  - Supported by 7 countries, FR is co-ordinator
- OPERAS projects (2017-2019)
  - EU H2020 projects
  - Certification service with DOAB
  - New features for OAPEN (Identifiers, Annotation, Altmetrics)
22 partners
10 European countries
2 H2020 projects
Current volumetry
800,000 published documents
250,000 authors
50,000,000 visitors/year
Potential
Towards ESFRI
2 M euros - 30 months - 9 partners –
5 platforms - 8000 monographs – 4 M unique visitors a year

<table>
<thead>
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<th>TYPE OF SERVICE</th>
<th>TYPE OF DATA</th>
<th>TECHNOLOGY OR STANDARDS</th>
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<tr>
<td></td>
<td>Social media impact</td>
<td>ALM</td>
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</table>

There will be four levels of implementation for each of the five mentioned services with the first two being mandatory within the project consortium, a) API connection, b) exchange of data and metadata via OAI-PMH, c) visualization of generated data on the platform, d) making one or more of the services functional for users.
ERC deposit

OAPEN works with the European Research Council (ERC) to provide its deposit service for open access books and book chapters to ERC funded researchers.

Under Horizon 2020, beneficiaries of ERC grants must ensure open access to all peer-reviewed scientific publications resulting from the research supported by the grant. This requirement includes monographs and book chapters: researchers are required to deposit their works upon publication, in a repository of their choice. A similar requirement applies to ERC grants funded under FP7 (2012 onwards), where at least ‘best efforts’ to provide open access have to be demonstrated.

ERC recommends the OAPEN Library for the deposit of monographs, book chapters and other long-text publications. OAPEN provides its deposit service to authors of monographs and book chapters resulting from ERC grants under H2020 and FP7.

The OAPEN Library is a repository set up specifically for OA books. We collect, host and provide access to these books and book chapters, in order to maximise dissemination and scientific and societal impact. The library is adapted to the specific demands of academic books, making use of the existing metadata standards, classification schemes, and distribution channels for academic books.

If you are the author of a monograph or book chapter resulting from ERC funded research, we will help you to deposit your work according to ERC guidelines and requirements.

Please view the menu on the left for further information and support.

The deposit service is free of charge for ERC funded researchers and their publishers.
Deposit your publication

All ERC grants under Horizon 2020 require beneficiaries to provide open access to peer-reviewed scientific publications related to results from the research funded under the grant. For ERC grants under FP7 from 2012 onwards, beneficiaries are required to immediately deposit and make their best effort to provide open access to all scientific publications related to results. Please consult the ERC OA requirements for full details.

The ERC Scientific Council mentions the OAPEN Library as the recommended repository for monographs and book chapters and other long-text publications.

If you are the author or publisher of a publication based on research funded by the ERC please use this form to deposit the monograph or book chapter for inclusion in the OAPEN Library.

Please note that this form must be completed by the author or the publisher of the work, or their representative.

To complete this form you will need the:

- ERC grant details
- Full text monograph or book chapter file(s), plus associated metadata files if available
- Embargo release date, if applicable (i.e. the date as of which open access can be provided to the publication through the OAPEN library).

If you prefer to fill in the form offline, please download the form here.

If you have any questions about completing this form, please contact:
deposit@oapen.org

Proceed to form
ERC OA requirements

ERC Open Access requirements for FP7 and Horizon 2020 grants

Depending on the type of ERC grant and under what Work programme it was awarded, different rules related to open access apply.

This page gives a brief overview of the formal rules[1] under FP7 and Horizon 2020. For full details, it is advisable to check the Grant Agreement.

If you have any questions regarding ERC Open Access requirements, please consult the ERC – Frequently Asked Questions[2] or contact the ERC open access team.

1. FP7 (ERC Work programmes 2012 and 2013)

ERC Frontier Research Grants (i.e. Starting Grants, Consolidator Grants, Advanced Grants, Synergy Grants) resulting from calls in the ERC Work programmes 2012 and 2013[3] oblige beneficiaries to immediately deposit all scientific publications related to results from the research funded under the grant, and to make best efforts to provide open access to them within six months from publication.

Article 7 of the grant agreement for these grants will include Special Clause 39 ERC:

In addition to Article 11.30.3 [note: this article explains how the ERC funding has to be acknowledged], the beneficiary shall deposit an electronic copy of the published version or the final manuscript accepted for publication of a scientific publication, related to foreground [note: this refers to all results generated in the project] published before or after the final report, in an institutional or subject-based repository at the moment of publication.

The beneficiary is required to make its best efforts to ensure that this electronic copy becomes freely and electronically available to anyone through this repository:

- immediately, if the scientific publication is published "open access", i.e. if an electronic version is also available free of charge via the publisher, or
- within six months of publication.
# Funder requirements

**Welcome, ERC and FWF open access requirements: monographs and book chapters**

The table below summarises Welcome, ERC and FWF open access requirements only as they relate to monographs and book chapters. It does not include information regarding other research publications such as journal articles.

## Summary of open access requirements

<table>
<thead>
<tr>
<th></th>
<th>Welcome</th>
<th>ERC</th>
<th>FWF</th>
</tr>
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<tbody>
<tr>
<td><strong>Scope of policy (content)</strong></td>
<td>All original scholarly monographs and book chapters authored or co-authored by Welcome grantholders</td>
<td>All monographs, book chapters and other long-text publications related to results from ERC funded research (for H2020: only peer-reviewed publications are covered)</td>
<td>All peer-reviewed research results of FWF funded research</td>
</tr>
<tr>
<td><strong>Maximum embargo</strong></td>
<td>6 months</td>
<td>6 months (12 months for outputs in the humanities and social sciences in H2020)</td>
<td>12 months (No embargo for FWF funded publications)</td>
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<tr>
<td><strong>Funding availability</strong></td>
<td>Yes</td>
<td>Yes, within grant period</td>
<td>Yes: FWF has a separate funding programme for stand-alone publications (such as monographs and edited collections), which is available for FWF grant holders and researchers who conduct their research activities mainly in Austria or under the auspices of an Austrian research institution abroad.</td>
</tr>
<tr>
<td><strong>Licence (gold)</strong></td>
<td>CC BY preferred, other CC licences permitted</td>
<td>Not specified</td>
<td>Not specified (CC BY for FWF funded publications)</td>
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</table>
List of compliant book publishers

On this page we list book publishers that comply with the open access requirements of the European Research Council, Wellcome, and the Austrian Science Fund (FWF).

These funders support immediate open access to books and book chapters resulting from research grants. This support enables researchers to pay for book processing charges levied by publishers to publish their work in open access.

In the section 'Funder requirements' we provide a quick overview of the requirements of these funders concerning open access. Please note this list only provides a summary; details can be found by following the links to the funders' websites provided.

In the section 'For researchers' we provide help to find book publishers with an open access offering.

Publishers that comply with these requirements can join the list by filling in their information in an online form.

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<tr>
<th>publisher</th>
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<th>email</th>
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<th>self-archiving policy</th>
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<td>Max Haring</td>
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<td>AUP Open Access</td>
<td>no information</td>
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<td>Brill</td>
<td>Jasmin Lange</td>
<td><a href="mailto:brilopen@brill.com">brilopen@brill.com</a></td>
<td>Brill Open Books &amp; Chapters</td>
<td>Self Archiving Rights (self-archiving restricted to institutional use)</td>
</tr>
<tr>
<td>Cambridge University Press</td>
<td>Matt Day</td>
<td><a href="mailto:openaccess@cambridge.org">openaccess@cambridge.org</a></td>
<td>Open Access - Books</td>
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## ERC-OAPEN-2015

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<td>aggregated / deposited</td>
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<tr>
<td>deposited by publisher / researcher</td>
<td>12 / 5</td>
</tr>
<tr>
<td>gold / green</td>
<td>51 / 16</td>
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</tbody>
</table>
1. Understanding Society and Natural Resources: Forging New Strands of Integration Across the Social Sciences
   Manfredo, Michael J.; Vaske, Jerry J.; Rechkemmer, Andreas & Duke, Esther A.
   Subjects: Society and social sciences, Society and social sciences, Sociology and anthropology, Sociology

2. Photosynthesis
   Dubinsky, Zvy
   Subjects: Mathematics and science, Science: general issues

3. Photoacoustics — A Novel Tool for the Study of Aquatic Photosynthesis
   Pinchasov-Grinblat, Yulia & Dubinsky, Zvy
   Subjects: Mathematics and science, Science: general issues

4. Social Media in an English Village
   Miller, Daniel
   Subjects: Society and social sciences, Society and social sciences
New features (2018-2019)

- **Collection view:** improves the visibility of the ERC publications within the OAPEN Library
- **Grant linking:** improves discovery and increases the visibility of publications resulting from ERC grants at the research website and the OAPEN Library
- **Review linking:** promotes publications and usage
- **Altmetrics:** increases insight in impact of publications and promotes usage
Case: UCL Press - usage

- Book series ‘Why We Post’
- Anthropological study exploring the impact of social media across the world
- ERC Advanced Grant: SOCNET

- 9 books so far
9 titles found

erc in grant [x]
ucl press in publisher [x]
modify search | new search

Sorted by: relevance  Go!

Page: 1

1. Social Media in an English Village
   Miller, Daniel
   Subjects: Society and social sciences, Society and social sciences
   Society and social sciences, Society and social sciences
   Society and social sciences, Society and social sciences, Sociology and anthropology, Anthropology, Social and cultural anthropology, ethnography Mod, Social and cultural anthropology, ethnography

2. How the World Changed Social Media
   Miller, Daniel; Costa, Elisabetta; Haynes, Nell; McDonald, Tom; Nicolescu, Razvan; Sinanan, Jolynna; Spyler, Juliano; Venkatraman, Shriram & Wang, Xinyuan
   Subjects: Society and social sciences, Society and social sciences
   Society and social sciences, Society and social sciences
How the World Changed Social Media

Miller, Daniel, Costa, Elisabetta, Haynes, Neli, McDonald, Tom, Nicolescu, Razvan, Sinanan, Jolyonna, Spyer, Juliano, Venkatraman, Shiriram & Weng, Xinyuan

Publisher: UCL Press, London
Published: 2016
Subjects: Society and social sciences, Society and social sciences, Society and social sciences, Society and social sciences, Anthropology, Social and cultural anthropology, ethnography

Abstract: How the World Changed Social Media is the first book in Why We Post, a book series that investigates the findings of nine anthropologists who each spent 15 months living in communities across the world. This book offers a comparative analysis summarising the results of the research and exploring the impact of social media on...
How the World Changed Social Media is the first book in Why We Post, a book series that investigates the findings of nine anthropologists who each spent 15 months living in communities across the world. This book offers a comparative analysis summarising the results of the research and exploring the impact of social media on

Series: Why We Post

Keywords: Social media, Society, Memes

Language: English

Number of pages: 286

ISBN: 9781910634493

Rights: https://creativecommons.org/licenses/by-nc-nd/4.0/

Grant: European Research Council (ERC) - FP7, SOCNET - 295486

Availability: Webshop publisher
Global Social Media Impact Study

Please see our new website for the latest information on the Why We Post project.

The Global Social Media Impact Study based at the UCL Department of Anthropology is dedicated to understanding the implications of social networking sites for global humankind and society, and explaining their significance for the future of the social sciences.

> Our research objectives

About the Global Social Media Impact Study

Anthropology of Social Networking directory

PROJECT BLOG

See you later, from the Why We Post team

This is our end-of-project blogpost. After five years of our ERC-funded project Why We Post. So, what has been achieved? 1) in the light of a comparative study of nine fieldsites and our eleven books of evidence, we hope people will fully appreciate that social media is always content; and content is always the local [...]

> Read more...

LATEST TWEET

#WhyWePost: The Anthropology of Social Media starts on Monday! Enrol for a global perspective on #socialmedia
Activity so far...

- Published 50 books and 7 journals so far
# UCL Press Highest Downloads

<table>
<thead>
<tr>
<th>Title</th>
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<td>Social Media in Industrial China</td>
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<td>The Petrie Museum of Egyptian Archaeology</td>
<td>04-Jun-15</td>
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<td>Sustainable Food Systems: The Role of the City</td>
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# UCL Press Print Sales

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<td>04-Jun-15</td>
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<td>59</td>
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</tbody>
</table>
Case: Brill - acquisition

- Research into ERC grants
- Selecting ERC projects in Brill focus areas
- Internal check with acquisitions editors
- Mailing to approx. 200 PI’s
- Resulting in approx. 40 prospects
- Around 10 agreements

- 4 books so far
Mediterranean configurations: Intercultural trade, commercial litigation and legal pluralism in historical perspective

From 2012-09-01 to 2018-06-30, ongoing project

Project details

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<th>Total cost:</th>
<th>Topic(s):</th>
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<td>EUR 2 484 000</td>
<td>ERC-AG-SH6 - ERC Advanced Grant - The study of the human past</td>
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<tr>
<td>EU contribution:</td>
<td>Call for proposal:</td>
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<tr>
<td>EUR 2 484 000</td>
<td>ERC-2011-ADG_20110406</td>
</tr>
<tr>
<td>Coordinated in:</td>
<td>Funding scheme:</td>
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<tr>
<td>France</td>
<td>ERC-AG - ERC Advanced Grant</td>
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</tbody>
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Objective

This project will analyse historical change in the Mediterranean over the long run. It challenges totalising narratives aiming to “europeanise” Mediterranean history as having led somewhat naturally to European integration. It will focus on the role of state formation in this long run, and in particular the role of international trade and law.
Case: Brill - acquisition

- One example:
- ERC Advanced Grant
- CONFIGMED: Mediterranean trade relations in historic perspective
- Huge publishing program requiring tender procedure
- Resulting in many books
- Grant period ends June 2018
Case: Brill - acquisition

- Some bottlenecks:
  - PI’s not always aware of OA requirements
  - OA publication costs not always included in project budget
  - Books take longer to write, but publication costs only eligible within grant period
  - Agreements can only be finalized after peer review
Case: RELMIN – delayed OA

- ERC FP7 grant RELMIN
- Research into legal texts re. religious minorities in pre-modern Europe
- We contacted PI with 2 titles from the project
- PI provided list of 9 titles published by Brepols
- All titles to become OA after 1 year embargo
3 titles found

relmin in grmprogram [X]
modify search | new search

Sorted by: relevance

   Tolan, John; De Lange, Nicholas; Foschia, Laurence & Nemo-Peckelman, Capucine
   
   Subjects: Law, Jurisprudence and general issues, Legal history

2. La cohabitation religieuse dans les villes Européennes, Xe - XVe siècles : Religious cohabitation in European towns (10th–15th centuries)
   Boisselier, Stéphanie & Tolan, John
   
   Subjects: Humanities, History, Regional and national history, European history

3. Les Papes et le Maghreb aux XIIIème et XIVème siècles : Etude des lettres Pontificales 1199 à 1419
   Maillard, Clara
   
   Subjects: Humanities, History
The RELMIN project

Category: The RELMIN project
Published on Friday 5 July 2013

RELMIN is a research project mobilising an international team based at the Maison des Sciences de l'Homme Ange-Guépin in Nantes.

RELMIN collects, publishes and studies legal texts defining the status of religious minorities in pre-modern Europe, offering a wide-ranging outlook onto the debates in 21st century European societies, where increasing trends to secularisation as well as the simultaneous reaffirming of religious identities are potential sources of conflict.
Thank you

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www.oapen.org - @oapenbooks

www.doabooks.org - @doabooks
References

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  Eelco Ferwerda, Frances Pinter, Niels Stern, Report to Knowledge Exchange
- *Monographs and Open Access* –
  Professor Geoffrey Crossick, Report to HECFE
  http://www.hefce.ac.uk/pubs/rereports/year/2015/monographs/
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